
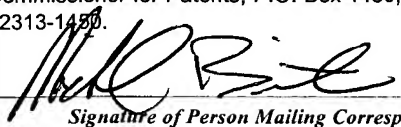


IFW

TRANSMITTAL LETTER (General - Patent Pending)			Docket No. 02-071	
In Re Application Of: VAN LUCHENE et al.				
Serial No. 10/625,946	Filing Date July 23, 2003	Examiner Not Yet Assigned	Group Art Unit 3627	
Title: <b>METHOD AND APPARATUS FOR OFFERING COUPONS DURING A TRANSACTION</b>				
<p style="text-align: center;"><u>TO THE COMMISSIONER FOR PATENTS:</u></p> <p>Transmitted herewith is:</p> <p><b>Supplemental Information Disclosure Statement, 5 pp.;</b> <b>PTO Form-1449, 7 pp., with References cited therein, 75; and</b> <b>Return Receipt Postcard.</b></p> <p>in the above identified application.</p> <p><input checked="" type="checkbox"/> No additional fee is required.</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <b>50-0271</b> as described below.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input checked="" type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p> <div style="display: flex; justify-content: space-between; align-items: flex-end;"><div style="width: 45%;"> _____ <b>Michael D. Downs</b> Attorney for Applicants PTO Registration No. 50,252 Walker Digital, LLC 203.461.7292/phone 203.461.7300/fax  <b>CUSTOMER NO. 22927</b>  Mdowns@walkerdigital.com cc:</div><div style="width: 45%; text-align: right;"><p>Dated: <b>July 8, 2004</b></p><div style="border: 1px solid black; padding: 5px; margin-top: 20px;"><p>I certify that this document and fee is being deposited on <b>July 8, 2004</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p> _____ <b>Michael D. Brinton</b> Typed or Printed Name of Person Mailing Correspondence</div></div></div>				

PATENT



Application Serial No.: 10/625,946  
Attorney Docket No.: 02-071

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: VAN LUCHENE et al.

Serial No.: 10/625,946

Filing Date: July 23, 2003

For: METHOD AND APPARATUS FOR  
OFFERING COUPONS DURING A  
TRANSACTION

) Examiner: Not Yet Assigned  
)  
) Group Art Unit: 3627  
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) Attorney Docket No: 02-071  
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) **CUSTOMER NO.: 22927**  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as priority mail in an envelope with sufficient postage and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: 07/08/04 By:

  
Michael D. Brinton

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent

to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

1. ☐ Applicants respectfully direct the Examiner's attention to the pending U.S. patent applications listed on the enclosed Form PTO-1449. The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application. Applicants would be pleased to provide the Examiner with a copy of these applications upon request.
2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): \_\_\_\_\_.
3. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): \_\_\_\_\_.
4. ☒ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:  
  
☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.  
  
☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.

- [X] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
5. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. [ ] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 10 below.
7. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
  - b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
  - c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.
8. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;
- ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

9. ☐ I hereby certify:

- ☐ that each item of information contained in the items listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.
- ☐ that no item of information contained or listed on the enclosed copy of Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ Please accept payment of the fees due as indicated below:

- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).

☐ The Commissioner is hereby authorized to charge \$180.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p).

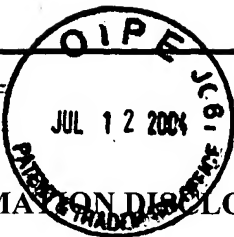
11. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

12. ☐ No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed concurrently with a Request for Continued Examination.

Respectfully submitted,

July 8, 2004  
Date

  
Michael D. Downs  
Attorney for Applicants  
Registration No. 50,252  
Mdowns@WalkerDigital.com  
Walker Digital, LLC  
(203) 461-7292/phone  
(203) 461-7300/fax

**INFORMATION DISCLOSURE CITATION**

Docket Number

02-071

Serial Number

10/625,946

Applicants

VAN LUCHENE et al.

Filing Date

July 23, 2003

Group Art Unit

3627

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIAT E

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No
	A	JP57086974	05/31/82	Japan				
	B	EP 0 085 546	08/10/83	Europe				
	C	EP 0 109 189 A1	10/13/83	Europe				
	D	JP2171891	07/03/90	Japan				
	E	JP2197998	08/06/90	Japan				
	F	JP2278399	11/14/90	Japan				
	G	JP4095198	03/27/92	Japan				
	H	JP4260914	09/16/92	Japan				
	I	EP 0 512 413 A2	11/11/92	Europe				
	J	EP 0 512 413 A3	11/11/92	Europe				
	K	JP 5252363 A	09/21/93	Japan (Abstract)				
	L	JP8147545	06/07/96	Japan				

**OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)**

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EXAMINER

DATE CONSIDERED:

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	02-071	10/625,946
	<b>Applicants</b> VAN LUCHENE et al.	
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**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation	
							Yes	No
	M	WO 96/34358	10/31/96	PCT (Abstract)				
	N	WO 96/36926	11/21/96	PCT				
	O	JP8329350	12/13/96	Japan				
	P	WO 97/28510	08/07/97	PCT				
	Q	WO 97/35441	09/25/97	PCT				
	R	JP10031792	02/03/98	Japan				
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**OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)**

	T	Brochure, "Introducing the Digital MenuBoard", Siren Technologies, Inc., (www.sirentech.com).
	U	Rehayem, Gilbert, "Opinion: X-Press Betting", Information Systems & Technology, Ontario Lottery Corp.
	V	Brochure: "Reaching Out In New Directions", First Data Corporation, Merchant Services, undated.
	W	"Cape Town", Reuters Ltd, November 8, 1979, PM Cycle.
	X	"Save the mark", Financial Times (London), February 1, 1983, Section I, Men & Matters at Pg. 12.
	Y	Cook, Louise, "ConsumerWatch: Clip, Snip, Save", The Associated Press, March 12, 1984, Business News Section.

**EXAMINER**

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		<b>Applicants</b> VAN LUCHENE et al.	
		<b>Filing Date</b> July 23, 2003	<b>Group Art Unit</b> 3627
<b>OTHER DOCUMENTS (Including author, Title, Date, Pertinent Pages, Etc.)</b>			
	Z	"Major Appliances: Tappan unveils microwave oven feature preprogrammed controls.", HFD, September 10, 1984, pg. 103.	
	AA	"POS spectrum: a lottery looks to POS for growth", UMI, Inc., POS News, January 1989, Vol. 5, No. 7, Pg. 8, ISSN: 0896-6230, CODEN: BHORAD.	
	BB	Kuttner, Robert, "Computers May Turn The World Into One Big Commodities Pit", Business Week, September 11, 1989, Section: Economic Viewpoint, No. 3123 at Pg.	
	CC	Schrage, Michael, "An Experiment In Economic Theory; Labs Testing Real Markets", The Record, November 26, 1989, Section: Business at Pg. B01.	
	DD	"Let's Play the Cash Register Receipts Lottery", The New York Times, December 25, 1990, Section 1, Pg. 30, Column 4, Editorial Desk.	
	EE	Del Rosso, Laura, "Marketal says it plans to launch air fare 'auction' in June; Marketal International Inc.", Travel Weekly, April 29, 1991, Section: Vol. 50, No. 34, Pg. 1, ISSN: 0041-2082.	
	FF	"Philips offers customers financing through Citicorp; Philips Medical Systems North America, Citicorp North America Inc." Health Industry Today, June 1991, Section: Vol. 54, No. 6, Pg. 4, ISSN: 0745-4678.	
	GG	Pelline, Jeff, "Travelers Bidding On Airline Tickets SF firm offers chance for cut-rate fares" The San Francisco Chronicle, August 19, 1991, Sections: News at Pg. A4.	
	HH	Del Rosso, Laura, "Ticket-bidding firm closes its doors; Marketal International; Brief Article", Travel Weekly, March 12, 1992, Section: Vol. 51, No. 21, Pg. 1, ISSN: 0041-2082.	
	II	"Coupons get serious; supermarkets use barcodes to prevent misredemptions", Chain Store Age Executive with Shopping Center Age, October 1992, Section: Vol. 68, No. 10, Pg. 68, ISSN: 0193-1199.	
	JJ	"Winn-Dixie/The Salvation Army Report Contributions For War Against Hunger", PR Newswire, June 10, 1993, Section: Financial News.	
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<b>OTHER DOCUMENTS</b> <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>			
	LL	Fiorini, Phillip, "No Place For Penny?"/Smallest coin doesn't make cents to some", USA Today, July 29, 1994, Section: News at Pg. 1A.	
	MM	Smith, Alison, "Survey of UK Consumer Credit and Asset Finance", Financial Times, November 3, 1994, Section: Pg. VI.	
	NN	Andreoli, Tom et al., "Cash Machines Offer A Whole Lotto Money For Withdrawl; An Unfortunate Juxtaposition; Block That Metaphor!; Something Street Talk; Fishy In Springfiled; State Street Sears?; Champion As Underdog; A 'Whole Language' Graduate", Crain's Chicago Business, June 19, 1995, Section: News at Pg. 8.	
	OO	"Spain: BBV launches new card", Cards International, June 22, 1995 at Pg. 5.	
	PP	Greene, Jan, "Farm bills please assns; National Grocers Association", Supermarket News, Vol. 35, Load-Date: June 30, 1995.	
	QQ	Knippenberg, Jim, "Psst! Will local radio empires strike back?", The Cincinnati Enquirer, July 23, 1995, Section: Tempo at Pg. F01.	
	RR	Silverman, Gene, "Planning and using infomercial campaigns effectively", Direct Marketing, September 1995, Vol. 58, No. 5, pg. 32(3).	
	SS	Brochure, "Cyber Bid", Net Fun Ltd., Copyright 1996.	
	TT	McKinney, Jeff, "Merchant program could pay off for Provident", The Cincinnati Enquirer, March 24, 1996, Section: Financial, pg. E02.	
	UU	Raab, David M., "Package Aids Catalog Management", DM News, April 22, 1996, Section: Software Review, pg. 14.	
	VV	Hadley, Kimberly, "Pastors praying anti-arson effort will burn bias", The Nashville Banner, July 26, 1996, Section: News at Pg. A13.	
	WW	Gapper, John, "NatWest reports rise in bad debt", Financial Times, July 31, 1996, Section: News: UK; at Pg. 09.	
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	XX	"LYNX TECHNOLOGY: Lynx to provide business leasing programme through Schroder Leasing", M2 Presswire, August 9, 1996.	
	YY	Taylor, Paul, "Towards a dream market", Financial Times (London), September 4, 1996, Section: Survey - FT IT; at Pg. 03.	
	ZZ	"Bigger Plans (Provident Bank, issuer of MeritValue customer loyalty card, plans to offer program in 25 cities in six months)", Card Fax, October 7, 1996, Vol. 96, No. 178, pg. 2.	
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	BBB	Fitzgerald, Kate, "Amex Program Moves Loyalty to Next Level: Custom Extras Finds a Medium Customers Can't Ignore: Billing Statements", Advertising Age, November 4, 1996, Section: News, pg. 2.	
	CCC	Bonnici, Joseph et al., "Consumer issues in coupon usage: An exploratory analysis", Journal of Applied Business Research, Winter 1996/1997, Section: Vol. 13, No. 1, pp. 31-40, ISSN: 0892-7626, CODEN: JPBEBK.	
	DDD	Quittner, Jeremy, "Ohio's Provident brings its discount card to Fla.", American Banker, February 11, 1997, Vol. 162, Issue 28, pg. 11, 1/3p., ISSN: 0002-7561.	
	EEE	Symons, Allene, "Lucky, Sav-on debut Rewards Card.", Drug Store News, February 17, 1997, Vol. 19, Issue 4, p3, 2p, 1c, ISSN: 0191-7587.	
	FFF	Mckeveny, Alexander, "Giving them a good reason.", Bank Marketing, March 1997, Vol. 29, Issue 3, p37, 4p, 4c, ISSN: 0888-3149.	
	GGG	Singletary, Michelle, "Electronic World, Unchecked Problem? U.S. Move to Paperless Payments Raises Worries About Those Who Don't Use Banks", The Washington Post, March 4, 1997, Section: Financial at Pg. C01.	
	HHH	Fickenscher, Lisa, "Merchant: American Express Seeks to Mine Its Data on Cardholder Spending Patterns", The American Banker, March 24, 1997, Section: Credit/Debit/ATMs, pg. 20.	
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	III	"Dispensing the Future", Electronic Payments International, May 1997, Section: Feature, pg. 12.	
	JJJ	"Avco Financial Services", National Home Furnishing Association, ( <a href="http://www.homefurnish.com/NHFA/avco.htm">http //www homefurnish comNHFA/avco htm</a> ), download date: May 23, 1997.	
	KKK	Website, "Catalina Marketing Corporation", ( <a href="http://catalinamktg.com/prodcdir.htm">http //catalinamktg com/prodcdir htm</a> ), download date: May 29, 1997.	
	LLL	Riordan, Teresa, "Patents; A novel approach to making a better spermicide harks back to some old-fashioned methods", The New York Times, June 9, 1997, Section D, Pg. 2, Column 1, Business/Financial Desk.	
	MMM	"Industry Briefs", Card News, June 9, 1997, Section: Vol. 12, No. 11.	
	NNN	Nairn, Geoff, "The key to your identity: Falling costs will allow fingerprint verification to be widely used, reports Geoff Nairn", Financial Times (London), July 15, 1997, Section: Technology; at Pg. 12.	
	OOO	"The United Computer Exchange, How It All Works", ( <a href="http://www.uce.com/howitworks.html">http //www uce com/howitworks html</a> ), download date: July 23, 1997.	
	PPP	"Classified 2000: The Internet Classifieds", Classifieds2000, Inc., ( <a href="http://www.classifieds2000.com/cgi-cls/Display.exe?C2K+aboutus">http //www classifieds2000 com/cgi-cls/Display exe?C2K+aboutus</a> ), download date: August 6, 1997.	
	QQQ	"General trading information and terms provided by tradingfloor.com", ( <a href="http://www.tradingfloor.com/info.htm">http //www tradingfloor com/info htm</a> ), download date: August 14, 1997.	
	RRR	"NASDAQ", Information Sheet, ( <a href="http://home.oxford.com/corfin/corfl1.htm">http //home axford com/corfin/corfl1 htm</a> ), download date: August 15, 1997.	
	SSS	Hoeschen, Brad, "Brookfield Square hopes mall card strikes a chord", Business Journal-Milwaukee, September 12, 1997, Section: Vol. 14, No. 50, pg. 19.	
	TTT	Website: "NCR 7452 Workstation -- Beyond Traditional POS", ( <a href="http://www.ncr.com/product/retail/products/catalog/7452.shtm">http //www ncr com/product/retail/products/catalog/7452 shtm</a> ), download date: September 23, 1997.	
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<b>OTHER DOCUMENTS</b> <i>(Including author, Title, Date, Pertinent Pages, Etc.)</i>			
	UUU	"NCR 7453 PC-Based Point-of-Sale Solution", NCR Corporation, Copyright 1998.	
	VVV	Brochure, "For the Crew & the Customer: The Best Drive-Thru & Grill Service", Olivetti North America, Winter 1998.	
	WWW	"Examiner's Affidavit", Affidavit of USPTO Examiner James W. Myhre, February 22, 2001.	
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